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NOTICE OF ALLOWANCE AND FEE(S) DUE

27581 7590 02/18/2011 MEDTRONIC, INC. 710 MEDTRONIC PARKWAY NE MINNEAPOLIS, MN 55432-9924 EXAMINER

ALTER, ALYSSA MARGO

ART UNIT PAPER NUMBER

3762

DATE MAILED: 02/18/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/727,074	12/03/2003	Robert W. Stadler	P0020485.00/LG10126	4895

TITLE OF INVENTION: METHOD AND APPARATUS FOR DETECTING CHANGE IN PHYSIOLOGIC PARAMETERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	05/18/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

appropriate. All further of	correspondence includired below or directed oth	ng the Patent, advan	ce orders and notification	ı of maintenaı	nce fees will be	mailed to the current	hould be completed where correspondence address as trate "FEE ADDRESS" for	
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MEDTRONIC, 710 MEDTRON				I hereby cert States Postal addressed to transmitted to	Certificat tify that this Fee Service with su the Mail Stop o the USPTO (57	e of Mailing or Trans (s) Transmittal is being fficient postage for firs ISSUE FEE address 71) 273-2885, on the da	mission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.	
							(Depositor's name)	
							(Signature)	
							(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	NTOR	ATTO	ORNEY DOCKET NO.	CONFIRMATION NO.	
10/727,074	12/03/2003	•	Robert W. Stadle	er	P00	20485.00/LG10126	4895	
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nonprovisional	NO	\$1510	\$300		\$0	\$1810	05/18/2011	
EXAM	INER	ART UNIT	CLASS-SUBCLAS	s				
ALTER, ALYS	SSA MARGO	3762	607-017000					
"Fee Address" indi PTO/SB/47; Rev 03-0. Number is required.	ondence address (or Cha 3/122) attached. ication (or "Fee Address' 2 or more recent) attached ND RESIDENCE DATA ess an assignee is identian in 37 CFR 3.11. Comp	nge of Corresponder Indication formed. Use of a Custom	(1) the names of or agents OR, alte (2) the name of a registered attorney 2 registered paten listed, no name with ON THE PATENT (print	up to 3 registernatively, single firm (hy or agent) an tattorneys or ill be printed. or type) the patent. If g an assignment	ered patent attornaving as a memled the names of a agents. If no nar	ber a 2 up to ne is 3 dentified below, the d	ocument has been filed for	
4a. The following fee(s) a		categories (will not	be printed on the patent): 4b. Payment of Fee(s):				oup entity Government	
☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			Payment by cred	 ☐ A check is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). 				
a. Applicant claims	tus (from status indicated s SMALL ENTITY statu	is. See 37 CFR 1.27.				TITY status. See 37 C		
NOTE: The Issue Fee and interest as shown by the r	a Publication Fee (if requeecords of the United Sta	uired) will not be acc tes Patent and Trade	cepted from anyone other t mark Office.	nan the applic	cant; a registered	attorney or agent; or th	ne assignee or other party in	
Authorized Signature				Date	e			
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This collection of informa an application. Confident submitting the completed this form and/or suggestion	ation is required by 37 C iality is governed by 35 I application form to the ons for reducing this bu	FR 1.311. The infor U.S.C. 122 and 37 (USPTO. Time will rden, should be sent	mation is required to obtai CFR 1.14. This collection vary depending upon the to the Chief Information (n or retain a b is estimated to individual cas Officer, U.S. F	enefit by the pub o take 12 minute se. Any commen Patent and Trade	olic which is to file (and is to complete, including its on the amount of time mark Office, U.S. Dep.	by the USPTO to process) g gathering, preparing, and me you require to complete artment of Commerce, P.O.	

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27581 75	90 02/18/2011		EXAMINER		
MEDTRONIC, I		ALTER, ALYSSA MARGO			
710 MEDTRONIC PARKWAY NE MINNEAPOLIS, MN 55432-9924			ART UNIT	PAPER NUMBER	
WINNEAR OLIS, I	VIIN 33432-9924		3762		

DATE MAILED: 02/18/2011

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 348 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 348 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	
	10/727,074	STADLER ET AL.	
Notice of Allowability	Examiner	Art Unit	
	ALVOCA M ALTED	2762	
	ALYSSA M. ALTER	3762	
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT ROT	(OR REMAINS) CLOSED or other appropriate comming the comming of th	in this application. If not included nunication will be mailed in due course.	
1. \boxtimes This communication is responsive to <u>30 November 2010</u> .			
2. X The allowed claim(s) is/are 14,30 and 52-55.			
 3. Acknowledgment is made of a claim for foreign priority u a) All b) Some* c) None of the: 1. Certified copies of the priority documents have 		or (f).	
2. Certified copies of the priority documents have		on No	
3. ☐ Copies of the certified copies of the priority do			the
International Bureau (PCT Rule 17.2(a)).		3 11	
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		e a reply complying with the requiremen	ts
 A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which giv)F
5. CORRECTED DRAWINGS (as "replacement sheets") mu	st be submitted.		
(a) I including changes required by the Notice of Draftspers	son's Patent Drawing Revie	ew (PTO-948) attached	
1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date	<u>.</u>		
(b) ☐ including changes required by the attached Examiner Paper No./Mail Date	's Amendment / Comment	or in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the			
 DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT 			
Attachment(s)	E □ Nation of	nformal Detant Application	
 Notice of References Cited (PTO-892) Dotice of Draftperson's Patent Drawing Review (PTO-948) 		nformal Patent Application Summary (PTO-413),	
 Information Disclosure Statements (PTO/SB/08), 	Paper No	./Mail Dates Amendment/Comment	
Paper No./Mail Date			
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	_	s Statement of Reasons for Allowance	
	9. Other	<u> </u>	
/Alyssa M Alter/	/Niketa I. Pate		
Examiner, Art Unit 3762	Supervisory P	atent Examiner, Art Unit 3762	

NOTICE OF ALLOWANCE

Page 2

Allowable Subject Matter

The following is an examiner's statement of reasons for allowance: the prior art of record fails to teach or reasonably suggest:

Detecting changes in physiologic parameters by generating an adaptive baseline trend of the measured physiologic parameters corresponding to a first time period, generating a short term trend of the measured physiologic parameters corresponding to a second time period less than the first time period and generating a metric of physiologic parameter change between the adaptive baseline trend and one of a most recent measured physiologic parameter and the short term trend of the measured physiologic parameters in addition to updating the adaptive baseline trend by setting the adaptive baseline trend equal to a previous adaptive baseline trend reduced by a predetermined downdrift in response to the current adaptive baseline trend being greater than the current short term trend, and by setting the adaptive baseline trend equal to the previous adaptive baseline trend increased by a predetermined updrift in response to the current adaptive baseline trend being less than the current short term trend, the downdrift and the updrift having respective first values in response to a decline in the measured physiologic parameters being associated with decomposition, and respective second values different from the first values in response to an increase in the measured physiologic parameters being associated with decomposition, in combination with the other elements in the claims.

Application/Control Number: 10/727,074

Art Unit: 3762

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Page 3

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ALYSSA M. ALTER whose telephone number is (571)272-4939. The examiner can normally be reached on M-F 8am to 4pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Niketa Patel can be reached on (571) 272-4156. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Niketa I. Patel/ Supervisory Patent Examiner, Art Unit 3762 /Alyssa M Alter/ Examiner Art Unit 3762